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DLA Piper Rudnick Gray Cary US LLP 153 Townsend Street, Suite 800 San Francisco, California 94107-1907 O 415.836.2576 F 415.836.2501 W www.dlapiper.com

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June 22, 2006

To:

Attn.: Office of Petitions

Mail Stop Petition

Telephone:

Fax Number:

(571) 273-8300

From:

Linda R. Judge (Reg. No. 42,702)

415.836.2500

Client-Matter Number:

Re:

U.S. Patent Application No. 10/808,758

Filing Date: March 24, 2004

First Named Inventor. Daniel J. VONSEGGERN

Art Unit: Examiner:

Attorney Docket No.: 5410-006

Pages: - 12 - (including this form)

Originals:

If there is a problem with this transmission, please call

at

Fax Operator/Ext.

Message:

Papers included in this filing:

- 1. Transmittal (1 page)
- 2. Fee Transmittal + copy (2 pages)
- 3. Petition for Revival of an Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b) (2 pages)
- 4. Petition to Revive Unintentionally Abandoned Application (2 pages)
- 4. Copy of Notice of Abandonment (2 pages)
- 5. Declaration (2 pages)

CONFIDENTIALITY NOTICE

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Approved for use through 07/31/2006. OMB 0651-0031

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Application Number 10/808,758

TRANSMITTAL

Filling Date March 24, 2004

RECEIVED

TRANSMITTAL	Filing Date	March 24, 2004 RECEIVED							
FORM	First Named Inventor	Daniel J. VON SEGGERN CENTRAL FAX CENTER							
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(to be used for all correspondence after initial fi	Attorney Docket Number	5410-006							
Total Number of Pages in This Submission 1	1 pages								
ENCLOSURES (Check all that apply)									
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Actorney for Refund CO, Number of CD(s) Landscape Table on CD Remarks The Commissioner is authorized to cha	Other Enclosure(s) (please Identify below): 1. Facsimile Cover Sheet 2. Petition to Revive Unintentionally Abandoned Application 3. Copy of Notice of Abandonment							
Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	of fees to Deposit Account No. 07-1896. Customer No. 29585								
	TURE OF APPLICANT, ATTOR	INCT, UK AGENT							
Firm Name DLA Piper Rudnick Gray C	Cary US LLP, 153 Townsend Street, Sui	ite 800, San Francisco, CA 94107-1957							
Signature Sile R									
Printed name Linda R. Judge, Telephon	15-415-836-2586, Facsimile: 415-836-2501								
Date June 22 2006	R	Reg, No. 42,702							
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with									
sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: 1-571-273-9300									
Signature Kathele A. Fanell.									
Typed or printed name Kathleen A. Farre	ell	Date June 20, 2006							

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTC/SB/17 (12-04v2)
Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patem and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Effective on 12/08/2004, Fees pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818).				Complete if Known					
		Application Number 10/808,				RECE			
FEE TR			L F	iling Date		March:	24, 2004	C	ENTRALF
Fo	F	First Named Inventor Da		Daniel .	J. VON SI	GGERN	JUN 2		
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3. APPLICATION SIZE	FEE								
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nature		e fly		ney/Agent) 42	2,702		Telephon	^e 415-836-25	86
me (Print/Type) Linda R. Ju	agbı	(/ 4					Date June	22, 2006	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/64 (10-05)

Approved for use through 07/31/2009, OMB 0651-0031 U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT Docket Number (Optional) ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b) RECEIVED First named inventor: Daniel J. VON SEGGERN CENTRAL FAX CENTER Application No.: 10/808,758 Art Unit: JUN 2 2 2006 Filed: March 24, 2004 Examiner: Title: ADENOVIRUS PARTICLES WITH ENHANCED INFECTIVITY OF DENDRITIC CELLS AND PARTICLES WITH DECREASED INFECTIVITY OF HEPATOCYTES Attention: Office of Petitions Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 FAX (571) 273-8300 NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282. The above-identified application became abandoned for failure to file a timety and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the office notice or action plus an extensions of time actually obtained. APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION NOTE: A grantable petition requires the following items: (1) Petition fee: (2) Reply and/or issue fee: (3) Terminal disclaimer with disclaimer fee - required for all utility and plant applications filed before June 8, 1995; and for all design applications; and (4) Statement that the entire delay was unintentional. 1. Petition fee ✓ Small entity-fee \$ 750.00 (37 CFR 1.17(m)). Applicant claims small entity status. See 37 CFR 1.27. Other than small entity - fee \$ _____ (37 CFR 1.17(m)) 2. Reply and/or fee A. The reply and/or fee to the above-noted Office action in the form of Reply to Notice to File Missing Parts __(identify type of reply): has been filed previously on ____ is enclosed herewith. The issue fee and publication fee (if applicable) of \$____ has been paid previously on _ is enclosed herewith. [Page 1 of 2]

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour too complete, including gathering, proparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. And comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patient and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

88 හිනී PTO/SB/64 (10-05)
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U.S. Patent and Traderrark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1885, no persons are required to respond in a collection of information unless U.S. Patent and Traderrark Office: U.S. DEPARTMENT OF COMMERCE

3. Terminal disclaimer with disclaimer fee	er.						
o. reminer discialities with discialities lee							
Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.							
A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for a small entity or \$							
for other than a small entity) disclaiming the required period of time is enclosed herewith (see	_						
PTO/SB/63).							
4. STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c)).							
subsections (III)(C) and (D)).]							
WARNING:							
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.							
$(\lambda^{\epsilon}, \rho_{+})$							
Signature Date							
Date							
Linda R. Judge 42 702							
Typed or printed name Registration Number, if applicable							
Tregistration Tr							
153 Townsend St., Suite 800 415.836.2586							
Address Telephone Number							
San Francisco, CA 94107							
Address Enclosures: 🗸 Fee Payment							
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√ Reply							
Terminal Disclaimer Form							
Additional sheets containing statements establishing unintentional delay							
✓ Other: Facsimile Cover Sheet Transmittal							
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]	٦J						
I hereby certify that this correspondence is being:	11						
Deposited with the United States Postal Service on the date shown below with sufficient							
postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for							
Patents, P. O. Box 1450, Alexandria, VA 22313-1450,							
Transmitted by facsimile on the date shown below to the United States Patent and Trademark							
Office as (571) 273-8300.							
June 22, 2006							
Date Signature							
Kathleen A, Farrell	11						
Typed or printed name of person signing certificate							
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RECEIVED CENTRAL FAX CENTER JUN 2 2 2006

DOCKET NO. 5410-006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Daniel J. VON SEGGERN Art Unit:

1636

SERIAL NO.:

10/808,758

Examiner:

David Guzo

FILING DATE:

March 24, 2004

FOR:

ADENOVIRUS PARTICLES WITH ENHANCED

INFECTIVITY OF DENDRITIC CELLS AND PARTICLES WITH DECREASED INFECTIVITY OF HEPATOCYTES

PETITION TO REVIVE UNINTENTIONALLY ABANDONED APPLICATION

Office of Petitions
Mail Stop Petition
COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

SIR:

Applicants hereby Petition to Revive this abandoned application under 37 C.F.R. 1.137(b) (unintentional delay) and state as follows:

A grantable petition to revive under 37 C.F.R. 1.137(b) has three requirements and Applicants have complied with all three requirements. The three requirements are:

1. The required reply unless previously filed.

The required reply is the response to a Notice to File Missing Parts. Applicants do not have a copy of the Notice to File Missing Parts, however, an executed declaration is provided herewith.

SANFI\349658.1

2. The petition fee required by 37 CFR 1.17(m).

The petition fee for a small entity is enclosed.

3. A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. 1.137(b) was unintentional.

The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. The delay was due to internal communication error when the file for this case was transferred from Fish & Richardson to DLA Piper Rudnick Gray Cary U.S. LLP.

Wherefore, Applicants respectfully request that the executed declaration be accepted, the Petition to Revive be granted, and the present application be revived and reinstated.

If any issues remain which the Examiner feels may be best resolved through a personal or telephonic interview, the Examiner is respectfully requested to contact Applicants' counsel, Linda R. Judge at (415) 836-2586.

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY U.S. LLP

Dated: 6/22

Linda R. Judge

Registration No. 42,702

153 Townsend Street, Suite 800 San Francisco, CA 94107 Telephone No. 415.836.2500 Facsimile No. 415.836.2501 United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P. Departs Viginia 22013-1450 WWW.maple par

ON NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY DOCKET NO./TITLE

758

03/24/2004

Von Seggem

22908-1239

CONFIRMATION NO. 6593 ABANDONMENT/TERMINATION **LETTER**

Stephanie Seidman FISH & RICHARDSON P.C. 12390 El Camino Real San Diego, CA 92130-2081

Date Mailed: 05/22/2006

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 09/16/2005.

No reply was received.

If a complete reply to the notice was previously filed by applicant within the time period set forth in the notice, applicant may request for reconsideration of the holding of abandonment within 2 months from the mailing of this notice of abandonment by filing a petition to withdraw the holding of abandonment under 37 CFR 1.181(a). No petition fee is required. The petition must be accompanied by a true copy of the originally filed reply and the item (s) identified in one of the following:

- 1. A properly itemized date-stamped postcard receipt (see MPEP § 503);
- 2. If the originally filed reply included a certificate of mailing or transmission in compliance with 37 CFR 1.8(a), a copy of the certificate of mailing or transmission and a statement in compliance with 37 CFR 1.8(b) (see MPEP §§ 512); or
- 3. If the reply was filed via Express Mail, a submission satisfying the requirements of 37 CFR 1.10(e) including, for example, a copy of the Express Mail mailing label showing the "date-in" (see MPEP § 513).

Any petition to withdraw the holding of abandonment should be directed to OIPE.

If applicant did not previously file a complete reply within the time period set forth in the notice, applicant may file a petition to revive the application under 37 CFR 1.137.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(I); and (4) a terminal disclaimer if required by 37 CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/61.

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37

CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/64.
Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (571) 272-3282.

A copy of this notice MUST be returned with the reply.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382
PART 2 - COPY TO BE RETURNED WITH RESPONSE

DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ADENOVIRUS PARTICLES WITH ENHANCED INFECTIVITY OF DENDRITIC CELLS AND PARTICLES WITH DECREASED INFECTIVITY OF HEPATOCYTES

the specification of which

- () is attached hereto.
- was filed by an authorized person on my behalf on March 24, 2004, (X)Application Serial No. _____. (Applicant's attorney is authorized to enter application number after execution of this document.)
- and as amended in the Preliminary Amended filed with the application. ()

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, \$1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate listed below and so identified, or \$365(a) of any PCT international application that designated at least one country other than the United States of America, listed below, and I have also identified below any foreign application for patent or inventor's certificate or PCT international application on this invention filed by me or my legal urepresentatives or assigns and having a filing date before that of the application on which priority is claimed.

> Priority Claimed

Number

Country

Day/Month/Year Filed

(Yes or No)

N/A

I hereby claim benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

Application Serial No.

Filing Date

60/459,000

March 28, 2003

60/467,500

May 1, 2003

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.

N/A

Filing Date

Status

PCT Application No. N/A

Filing Date

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith and request that all correspondence and telephone calls in respect to this application be directed to Stephanie Seidman, FISH & RICHARDSON P.C., 12390 El Camino Real, San Diego, California 92130-2081; 858-678-5070:

Attorney	Reg. No.
Stephanie Seidman Dale L. Rieger Fred C. Hernandez	33,779 43,045 41,832
Scott C. Harris	32,030

and other members of the firm.

Address for correspondence:

Stephanie Seidman

FISH & RICHARDSON P.C. 12390 El Camino Real

San Diego, California 92130-2081

Full name of inventor:

<u>Daniel J. Von Seggern</u>

Inventor's signature:

Date:

4.19-04

Residence:

12557 Ruette Alliante, #180

Citizenship;

San Diego, California 92130

<u>U.S.A.</u>